

Docket No.  
524941/0021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Yasuto Sakai, et al.**

Group Art Unit: **2861**

Application No.: **10/730,785**

Examiner: **Anh T.N. Vo**

Filed: **December 8, 2003**

Confirmation No.: **4849**

For: **LIQUID CARTRIDGE**

Date: **August 21, 2006**

**REQUEST FOR CONFIRMATION  
OF CONSIDERATION OF REFERENCES**

**Mail Stop ISSUE FEE**  
Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request confirmation that the references and two Chinese Office Action documents cited in the Information Disclosure Statement filed on May 1, 2006, have been considered.

The May 1, 2006, Information Disclosure Statement, including the cited references, appears in the Image File Wrapper for this application with a May 1, 2006, submission date. These papers were filed on May 1, 2006, utilizing the Patent and Trademark Office's Electronic Filing System.<sup>1</sup>

Because Applicants have not received confirmation that the references cited in the May 1, 2006, Information Disclosure Statement were considered, Applicants respectfully request

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<sup>1</sup> As proof that these documents were received in the Patent and Trademark Office, a copy of the Acknowledgement Receipt sent to Applicants' undersigned attorney by the Patent and Trademark Office's Electronic Filing System is included at Exhibit A. This paper states "[t]his Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including pages counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503." Thus, pursuant to M.P.E.P. ¶ 503, this Acknowledgement Receipt constitutes *prima facie* proof that the Information Disclosure Statement and cited references were filed.

that an initialed copy of the PTO/SB/08a and 08b forms which are part of the Information Disclosure Statement be returned to the undersigned as confirmation that these references have been considered.

For the Examiner's convenience, copies of the PTO/SB/08a and b forms filed on May 1, 2006, are annexed as Exhibit B.

Favorable consideration and confirmation that this information was considered are respectfully requested.

In the event that there are any questions, or should additional information be required, please contact Applicants' attorney at the number listed below.

Respectfully submitted,

**/David L. Schaeffer/**

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Registration No. 32,716  
Attorney for Applicants  
Stroock & Stroock & Lavan LLP  
180 Maiden Lane  
New York, New York 10038  
212-806-6677

# EXHIBIT A

**Acknowledgement Receipt**

The USPTO has received your submission at **14:21:41** Eastern Time on **01-MAY-2006** by Deposit Account: 194709.

\$ **790** fee paid by e-Filer via *RAM* with Confirmation Number: 653.

You have also pre-authorized the following payments from your USPTO Deposit Account:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

**eFiled Application Information**

EFS ID	1035060
Application Number	10730785
Confirmation Number	4849
Title	LIQUID CARTRIDGE
First Named Inventor	Yasuto Sakai
Customer Number or Correspondence Address	Lawrence Rosenthal Stroock & Stroock & Lavan LLP 180 Maiden Lane New York NY 10038 US 2128066006
Filed By	David Lewis Schaeffer
Attorney Docket Number	524941/0021
Filing Date	08-DEC-2003
Receipt Date	01-MAY-2006
Application Type	Utility

**Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
RequestForContinuedExamination.pdf	1	Request for Continued Examination (RCE)	74724 bytes	◆ PASS
InformationDisclosureStatement.pdf	5	Information Disclosure Statement (IDS) Filed	266968 bytes	△ WARNINGS
This is not an USPTO supplied IDS fillable form				
JP06286151A.pdf	24	Foreign Reference	1882267 bytes	◆ PASS
JP2000343722A.pdf	31	Foreign Reference	2604924 bytes	◆ PASS
JP2001341324A.pdf	22	Foreign Reference	1608509 bytes	◆ PASS
CN1365893A.pdf	77	Foreign Reference	3766842 bytes	◆ PASS
EP1199178A1.pdf	67	Foreign Reference	1548370 bytes	◆ PASS
OfficeActionInCN200310118233.pdf	9	Foreign Reference	484002 bytes	◆ PASS

OfficeActionInJP2003204774.pdf	8	Foreign Reference	300932 bytes	◆ PASS
fee-info.pdf	2	Fee Worksheet (PTO-875)	8133 bytes	◆ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

*If you need help:*

- *Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail [EBC@uspto.gov](mailto:EBC@uspto.gov) for specific questions about Patent e-Filing.*
- *Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).*
- *If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic Business Support](#) or call 1 800-786-9199.*

# EXHIBIT B

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number	10/730,785
Filing Date	December 8, 2003
First Named Inventor	Yasuto Sakai, et al.
Art Unit	2861
Examiner Name	Anh T.N. Vo
Attorney Docket Number	524941/0021

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 2002-109760-A1	8-15-2002	Miyazawa, et al.	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		JP-6-286151-A	10-11-1994	Brother Ind. Ltd.	w/ Engl. Abst. & Transl.	X
		JP-2000-343722-A	12-12-2000	Seiko Epson Corp.	w/ Engl. Abst. & Transl.	X
		JP-2001-341324-A	12-11-2001	Seiko Epson Corp.	w/ Engl. Abst. & Transl.	X
		CN-1365893-A	8-28-2002	Seiko Epson Corp.		
		EP-1 199 788-A	4-24-2002	Seiko Epson Corp.	Engl. Orig.	

Examiner  
Signature

Date

Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/730,785
				Filing Date	December 8, 2003
				First Named Inventor	Yasuto Sakai, et al.
				Art Unit	2861
				Examiner Name	Anh T.N. Vo
				Attorney Docket Number	524941/0021
Sheet	2	of	2		

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

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